



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
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OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 31, 2001

PTAS



\*101761357A\*

BAYER CORPORATION  
ARON PREIS  
100 BAYER ROAD  
PATENT DEPT.  
PITTSBURGH, PA 15205-9741

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/16/2001

REEL/FRAME: 011915/0308  
NUMBER OF PAGES: 5

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE NAME OF ASSIGNOR PREVIOUSLY RECORDED AT REEL 011330 FRAME 0663.

ASSIGNOR:

WAGNER, THOMAS

DOC DATE: 09/05/2000

ASSIGNOR:

KLOHR, ERIK-ANDREAS

DOC DATE: 09/11/2000

ASSIGNOR:

KOCH, WOLFGANG

DOC DATE: 09/12/2000

ASSIGNOR:

SZABLIKOWSKI, KLAUS

DOC DATE: 09/15/2000

ASSIGNOR:

WAGENKNECHT, WOLFGANG

DOC DATE: 10/10/2000

ASSIGNOR:

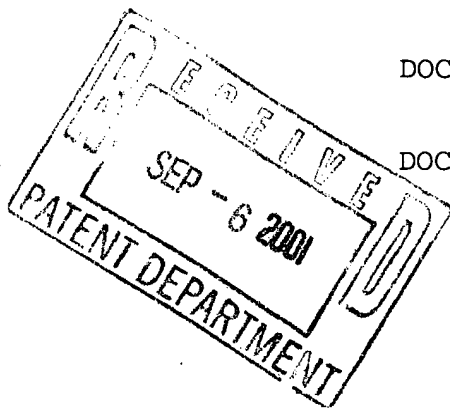
LOTH, FRITZ

DOC DATE: 10/09/2000

ASSIGNOR:

WETZEL, HENDRIK

DOC DATE: 10/09/2000



011915/0308 PAGE 2

ASSIGNEE:

WOLFF WALSRÖDE AG  
D-29655 WALSRÖDE, FED REP GERMANY

SERIAL NUMBER: 09673226  
PATENT NUMBER:

*11/14/00*  
FILING DATE: 10/13/2000  
ISSUE DATE:

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



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**Patent and Trademark Office**  
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Washington, D.C. 20231



\*101761357B\*

AUTHORIZATION TO CHARGE ADDITIONAL FEES TO DEPOSIT ACCOUNT

AUGUST 31, 2001

TO: OFFICE OF FINANCE

FROM: ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

SUBJECT: DEPOSIT ACCOUNT CHARGE(S)

CHARGE THE DEPOSIT ACCOUNT LISTED BELOW ADDITIONAL FEE(S) AS INDICATED BELOW. AUTHORIZATION TO CHARGE ADDITIONAL FEES HAS BEEN PROVIDED PER THE ATTACHED COVER SHEET, OR BY DIRECT CONTACT WITH THE CUSTOMER.

DEPOSIT ACCOUNT NUMBER: 133848  
PROPERTY NUMBER: 09673226

RECORDING FEES:

FEE CODE: 581 AMOUNT: 40

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

TRADEMARK SERVICE FEES:	FEE CODE:
RECORDING FIRST MARK	481
RECORDATION SECOND AND SUBSEQUENT MARK IN SAME DOCUMENT	482
LABOR CHARGES FOR SERVICES PER HOURS OR FRACTION THEREOF	484
UNSPECIFIED OTHER SERVICES	485

PATENT SERVICE FEES:

RECORDING EACH PATENT ASSIGNMENT, AGREEMENT, OTHER PAPER, PER PROPERTY	581
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LABOR CHARGES FOR SERVICES PER HOUR	584
(\$30) OR FRACTION THEREOF	
UNSPECIFIED OTHER SERVICES	585

FORM PTO-1595

(Rev. 6-93)

OMB No. 0551-0011 (exp. 4/94)

06-26-2001



Tab settings:

To the Honorable Commissioner of Patent

1. Name of conveying party(ies):

1) Thomas Wagner

2) Erik-Andreas Klohr

KLOHR

3) Wolfgang Koch

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 9/5/00; 9/11/00; 9/12/00; 9/15/00; 10/9/00; 10/10/00

Name: Wolff Walsrode AG

Internal Address: D-29655 Walsrode

Germany

Street Address:

City: State: ZIP:

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

U.S. Serial No.: 09/673,226

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Aron Preis

Internal Address: Bayer Corporation

Patent Department

Street Address: 100 Bayer Road

City: Pittsburgh State: PA ZIP: 15205-9741

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

13-3848

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dorothy P. Colangelo  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

FORM PTO-1595

RECORDATION FORM COVER SHEET U.S.  
PATENTS ONLYDEPARTMENT OF COMMERCE  
Patent and Trademark Office

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

## 1. Name of conveying party(ies):

4) Klaus Szablikowski

6) Fritz Loth

5) Wolfgang Wagenknecht

7) Hendrik Wetzel

Additional name(s) of conveying party(ies) attached?

Yes

☐

No

☒

## 2. Name and address of receiving party(ies):

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_

State: \_\_\_\_\_

ZIP: \_\_\_\_\_

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_

State: \_\_\_\_\_

ZIP: \_\_\_\_\_

Additional name(s) &amp; address(es) attached?

Yes

☐

No

☒

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

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B. Patent No.(s)

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---

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---

---

Additional numbers attached?

Yes

☐

No

☒

OMB No. 0951-0011 (exp. 4/94)

Tab. Settings

To the Honorable Commissioner

1. Name of conveying party(ies):

1) Thomas Wagner

2) Erik-Andreas Klorh

3) Wolfgang Koch

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 9/5/00; 9/11/00; 9/12/00; 9/15/00; 10/9/00; 10/10/00

Name: Wolff Walsrode AG

Internal Address: D-29655 Walsrode

Germany

Street Address:

City: State: ZIP:

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

U.S. Serial No.: 09/673,226

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Aron Preis

Internal Address: Bayer Corporation

Patent Department

Street Address: 100 Bayer Road

City: Pittsburgh State: PA ZIP: 15205-9741

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

13-3848

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donna Veatch

Name of Person Signing

Signature

November 14, 2000

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
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FORM PTO-1595

RECORDATION FORM COVER SHEET U.S.  
PATENTS ONLYDEPARTMENT OF COMMERCE  
Patent and Trademark Office

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

## 1. Name of conveying party(ies):

4) Klaus Szablikowski

6) Fritz Loth

5) Wolfgang Wagenknecht

7) Hendrik Wetzel

Additional name(s) of conveying party(ies) attached?

Yes ☐ No ☒

## 2. Name and address of receiving party(ies):

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) &amp; address(es) attached?

Yes ☐ No ☒

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

\_\_\_\_\_  
\_\_\_\_\_  
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\_\_\_\_\_

B. Patent No.(s)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Additional numbers attached?

Yes ☐ No ☒



# Assignment

For valuable consideration, the receipt and adequacy of which is hereby acknowledged,

I/We

1) Thomas Wagner 2) Erik-Andreas Klohr 3) Wolfgang Koch  
4) Klaus Szablikowski 5) Wolfgang Wagenknecht 6) Fritz Loth  
7) Hendrik Wetzel

1) Am Badeteich 22, D 29664 Walsrode, Germany  
2) Kirchboitzen 146, D 29664 Walsrode, Germany  
3) An der Warnau 40, D 29669 Bomlitz, Germany  
4) Claudiusstraße 5, D 29664 Walsrode, Germany  
5) Ruhlsdorfer Straße 5, D 14513 Teltow, Germany  
6) Mahlower Straße 211, D 14513 Teltow, Germany  
7) Rathausstraße 65, D 12105 Berlin, Germany

hereby sell, assign, and transfer unto Wolff Walsrode AG a corporation of Germany, located at D 29655 Walsrode, Germany the entire right, title, and interest in and to my/our application for Letters Patent of the United States, executed concurrently herewith, entitled

## METHOD FOR ACTIVATING AND DERIVATIZING CELLULOSE

Filed: April 13, 1999

PCT-Serial No.: PCT/EP99/02472

and my/our entire right, title, and interest in and to all my/our inventions, whether joint or sole, disclosed in said application for Letters Patent, and in and to all divisional or continuation applications that may be filed for United States Letters Patent for any of said inventions, and in and to all patents that may be granted on the foregoing applications, and I/we hereby agree, whenever requested, to communicate to said assignee, its successors and assigns, any facts known to my/us respecting said inventions and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in the United States.

Date/Datum

Inventor(s)/Erfinder

5.9.2000

1. Thomas Wagner

11.09.2000

2. Erik-Andreas Klohr

12.03.2000

3. Wolfgang Koch

15.09.2000

4. Klaus Szablikowski

10.10.2000

5. Wolfgang Wagenknecht

9.10.2000

6. Fritz Loth

9.10.2000

7. Hendrik Wetzel

WW 5506-US



UNITED STATES DEPARTMENT OF COMMERCE  
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Washington, D.C. 20231

JUNE 04, 2001

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\*101704197A\*

BAYER CORPORATION  
ARON PREIS  
PATENT DEPARTMENT  
100 BAYER ROAD  
PITTSBURGH, PA 15205-9741

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF DISPOSITION OF DATABASE DISCREPANCY

YOUR REQUEST TO CORRECT THE PATENT AND TRADEMARK ASSIGNMENT DATABASE SYSTEM HAS BEEN REVIEWED BY A PARALEGAL SPECIALIST OF THE ASSIGNMENT DIVISION. REVIEW OF THE MICROFILM RECORD LOCATED IN THE ASSIGNMENT SEARCH ROOM INDICATES THAT THE DATABASE REFLECTS THE DATA AS ORIGINALLY PRESENTED FOR RECORDATION. THEREFORE, TO CORRECT A PREVIOUSLY RECORDED DOCUMENT, A CORRECTIVE DOCUMENT MUST BE SUBMITTED AS OUTLINED IN 37 CFR 3.34.

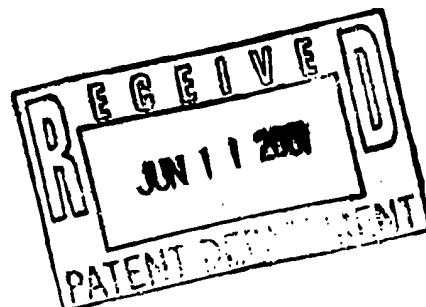
SUBMIT CORRECTIVE DOCUMENT TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

REFERENCE: REEL/FRAME: 011330/0663

SEDLEY PYNE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RECEIVED OPR  
2001 JUN 26 PM 4:37  
ASSIGNMENTS DIV





UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MAY 04, 2001

PTAS

BAYER CORPORATION  
ARON PREIS  
PATENT DEPARTMENT  
100 BAYER ROAD  
PITTSBURGH, PA 15205-9741

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT

SCAN QC ALL  
PAGES PG DOC

INDEX KEY P FOR  
PATENT PROPERTY

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RECORDED HERE.

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INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
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FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY  
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/20/2000

REEL/FRAAME: 011330/0663  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WAGNER, THOMAS

DOC DATE: 09/05/2000

ASSIGNOR:

*KLOCH*  
~~KLOCH~~, ERIK-ANDREAS *KLOHR*

DOC DATE: 09/11/2000

ASSIGNOR:

KOCH, WOLFGANG

DOC DATE: 09/12/2000

ASSIGNOR:

SZABLIKOWSKI, KLAUS

DOC DATE: 09/15/2000

ASSIGNOR:

WAGENKNECHT, WOLFGANG

DOC DATE: 10/10/2000

ASSIGNOR:

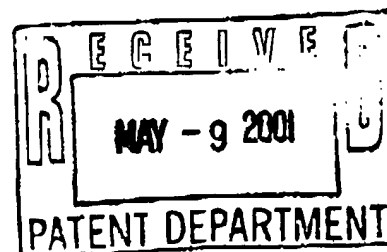
LOTH, FRITZ

DOC DATE: 10/09/2000

ASSIGNOR:

WETZEL, HENDRIK

DOC DATE: 10/09/2000





Bayer Corporation  
100 Bayer Road  
Pittsburgh, PA 15205-9741  
Phone: 412 777-2000

Writer's Direct Dial No.  
(412) 777-8346

May 22, 2001

Margaret LaSalle, Paralegal  
U. S. Patent and Trademark Office  
Assignment Division  
Box Assignments, CG-4  
1213 Jefferson Davis Highway, Suite 320  
Washington, D.C. 20231

**Re: Mo5936/WW-5506**  
**U.S. Serial No.: 09/673,226**  
**Filing Date: November 14, 2000**

Dear Paralegal LaSalle:

Attached is a corrected Recordation Cover Sheet and copy of the Assignment for the above-identified application. Also, I am attaching the original Cover Sheet and Assignment with error noted.

Change Assignor #2 name from Erik-Andreas Klorh to Klorh

Since the error originated in our office, please charge the fee of \$40.00 to our Deposit Account No. 13-3848.

Please correct the error in your office and send a corrected Notice of Recordation of Assignment Document to Bayer Corporation, Patent Department, 100 Bayer Road, Pittsburgh, PA 15205-9741.

Sincerely yours,

A handwritten signature in cursive script that reads "Dorothy P. Colangelo".

Dorothy P. Colangelo  
Patent Department

Enclosure  
/jme0005

CORRECTIVE

**Bayer** **Fax Coversheet**

**Date:** June 25, 2001 **No. of Pages (including this page)** 9

**To:** Regina White **From:** Judy Eberle

**Company:** USPTO **Div/Dept.:** Patent Department

**Fax:** 703-308-7124 **Fax:** 412-777-8363

**Phone:** **Phone:** 412-777-8338

**Copy:**

Mo5936/WW-5506

In requesting a correction on ID #101704197, we received the attached response from Sedley Pyne indicating no correction is needed. However, the last 2 letters of Assignor #2 were transposed. The old recordation sheet and a new recordation sheet were sent on May 22, 2001 with the correction information. The old recordation sheet's spelling was Klorh and should be Klohr. Please make the adjustment and send a corrected Notice of Recordation of Assignment to Bayer Corporation, Patent Department, 100 Bayer Road, Pittsburgh, PA 15205-9741.

Thank you

Judy Eberle

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